

# Quantum KdV hierarchy in 2d CFTs

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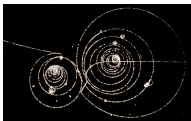
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Recent Advances in Theoretical Physics

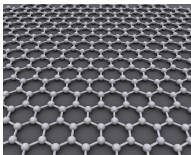
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# What is Conformal Field Theory?



$$C_{nm}^i(x, k) = \sum_p C_{nm}^i C_{kp}^j$$

$$\sum_p C_{nm}^i C_{kp}^j = \sum_p C_{nm}^i C_{kp}^j$$



- relativistic theory of massless quantum fields
- algebra of operators
- continuous limit of lattice systems
- theory of quantum gravity

# Motivation to study thermalization in CFTs

- Thermalization in 1D systems after a quantum quench
- CFT thermal physics  $\Leftrightarrow$  black hole physics
- Eigenstate Thermalization Hypothesis in CFT
  - new property of CFT correlation functions
  - fundamental difference between small and large  $c$  theories?

# Conformal symmetry & KdV hierarchy

- Conformal symmetry controls many aspects of 2d CFT dynamics
- Holographically, many aspects of classical gravity emerge from conformal symmetry
- Solving CFTs in 2d requires understanding KdV hierarchy
  - ETH = property of “correct” qKdV basis of CFT descendants
- Modular invariance may mix symmetry protected and unprotected data

# Origin of qKdV charges

- Verma module (free action of  $L_n$ )

$$L_{-m_1} \dots L_{-m_k} |\Delta\rangle, \quad m_1 \geq m_2 \geq \dots m_k \geq 0$$

- quantum KdV charges  $Q_{2k-1}$

$$H \equiv Q_1 = \int_0^\ell du T(u), \quad Q_3 = \int_0^\ell du T(u)^2, \quad \dots$$

- $Q_{2k-1}$  commute and act within the Verma module

# Classical vs quantum

- co-adjoint orbit of Virasoro algebra

$$-\psi'' + u\psi = 0 \quad \Rightarrow \quad -\tilde{\psi}'' + \tilde{u}\tilde{\psi} = 0$$

$$\tilde{u}(\theta) = \left(\frac{d\theta}{d\varphi}\right)^{-2} \left(u + \frac{1}{2}\{\theta, \varphi\}\right)$$

$$\frac{c}{6\pi}\{u(\varphi), u(\varphi')\} = 4u(\varphi)\delta'(\varphi - \varphi') + 2u'(\varphi)\delta(\varphi - \varphi') - \delta'''(\varphi - \varphi')$$

- stress-tensor in 2d conformal field theory

$$\tilde{T}(w) = \left(\frac{dw}{dz}\right)^{-2} \left(T + \frac{c}{12}\{w, z\}\right)$$

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}(n^3 - n)\delta_{n+m}$$

# What are KdV charges?

- classical KdV hierarchy

$$Q_1 = \int d\varphi u(\varphi), \quad Q_3 = \int d\varphi u(\varphi)^2, \quad Q_5 = \int d\varphi u(\varphi)^3 + 2u'(\varphi)^2,$$

charges generate Hamiltonian dynamics

$$\dot{u} = \{Q_1, u\} = \partial u, \quad \dot{u} = \{Q_3, u\} = 6u \partial u - \partial^3 u$$

- quantum KdV hierarchy

$$Q_1 = \int d\varphi T, \quad Q_3 = \int d\varphi T^2, \quad Q_5 = \int d\varphi T^3 + \frac{c+2}{12} T'^2,$$

$$\dot{T} = [Q_1, T] = \partial_\varphi T, \quad \dot{T} = [Q_3, T] = -3\partial(TT) - \frac{c-1}{6} \partial^3 T$$

# Quantum KdV charges of 2d CFTs

- infinite tower of charges in involution

$$H \equiv Q_1 = \int_0^\ell d\varphi T(\varphi), \quad Q_3 = \int_0^\ell d\varphi T(\varphi)^2, \quad \dots$$

$$\ell^1 Q_1 = L_0 - \frac{c}{24}$$

$$\ell^3 Q_3 = L_0^2 - \frac{c+2}{12} L_0 + \frac{c(5c+22)}{2880} + 2 \sum_{n=1}^{\infty} L_{-n} L_n$$

$$\begin{aligned} \ell^5 Q_5 = & L_0^3 - \frac{c+4}{8} L_0^2 + \frac{(c+2)(3c+20)}{576} L_0 - \frac{c(3c+14)(7c+68)}{290304} + \\ & \sum : L_{n_1} L_{n_2} L_{n_3} : + \sum_{n=1}^{\infty} \left( \frac{(c+11)}{6} n^2 - \frac{4+c}{4} \right) L_{-n} L_n + \frac{3}{2} \sum_{r=1}^{+\infty} L_{1-2r} L_{2r-1} \end{aligned}$$



# Quantum KdV charges in 2d CFTs

- infinite tower of charges  $Q_{2k-1}$  in involution
- spectrum of  $Q_1 = L_0 - c/24$  is highly degenerate, spectrum of all other charges is not
- quantum KdV hierarchy defines preferred eigenbasis on the Verma module

$$|\Psi\rangle = L_{-m_1} \dots L_{-m_k} |\Delta\rangle + \dots, \quad Q_{2k-1} |\Psi\rangle = \lambda_{2k-1} |\Psi\rangle$$

and hence on the space of descendants of 2d CFT

- a priori quantum numbers to index  $|\Psi\rangle$  are not known

# Spectrum of $Q_3$

- spectrum of  $Q_3$  can be parametrized by Young diagrams

$$\ell^3 Q_3 |m_i, \Delta\rangle = \lambda_3 |m_i, \Delta\rangle, \quad |m_i, \Delta\rangle = L_{-m_1} \dots L_{-m_r} |\Delta\rangle + \dots$$

$$\lambda_3 = P_2(\Delta + n) + 4\Delta \sum_i m_i + \frac{c}{6} \sum_i (m_i^3 - m_i) + \dots$$

- free boson representation,

$$\sum_i (m_i)^p = \sum_k n_k k^p$$

- free shift of  $\Delta' = \Delta - c/24$  (inspired by holography)

## Spectrum of $Q_3$

- spectrum of  $Q_3$  in terms of “boundary gravitons”

$$\ell^3 Q_3 |n_k, \Delta\rangle = \lambda |n_k, \Delta\rangle$$

$$\begin{aligned} \lambda_3 = & \Delta'^2 + \Delta' \left( \sum_k n_k 6k - \frac{1}{6} \right) + c \left( \sum_k n_k \frac{k^3}{6} + \frac{1}{1440} \right) + \\ & \sum_k n_k \left( \frac{(5k^3 - 9k^2)}{6} + 36(2k - 1) \frac{\Delta'}{c} \right) - \\ & \sum_k n_k^2 \left( 3 \frac{k^2}{2} + 36 \frac{\Delta'}{c} \right) + 2 \left( \sum_k n_k k \right)^2 + O(1/c) \end{aligned}$$

## qKdV hierarchy at large $c$

- Spectrum of  $Q_{2k-1}$  at first two orders in  $1/c$  was calculated in terms of “boundary gravitons”  
guesswork supported by quasi-classical quantization
- Spectrum of  $Q_3, Q_5$  is known up to  $1/c^2$
- Generalized partition function in cylinder limit is known including leading  $1/c$  corrections

# Generalized Eigenstate Thermalization in 2d CFTs

# Eigenstate Thermalization Hypothesis

- Eigenstate Thermalization Hypothesis - ansatz for the matrix elements in the energy eigenbasis

$$\langle E_i | \mathcal{O} | E_j \rangle = \delta_{ij} f_{\mathcal{O}}(E_i) + e^{-S/2} g_{\mathcal{O}}(E_i, E_j) R_{ij}$$

- ETH predicts emergence of thermal equilibrium under the assumption that the latter is equal to the diagonal ensemble

$$\langle \psi | \mathcal{O}(t) | \psi \rangle = \sum_i |C_i|^2 \langle E_i | \mathcal{O} | E_i \rangle + \sum_{i \neq j} C_i^* C_j \langle E_i | \mathcal{O} | E_j \rangle e^{-i(E_i - E_j)t}$$

# Generalized Eigenstate Thermalization

what is the minimal set of physical quantities characterizing an equilibrium state?

- Eigenstate Thermalization - energy is the only thermodynamically-relevant quantity

$$\langle E_i | \mathcal{O} | E_i \rangle = f_{\mathcal{O}}(E_i)$$

- Generalized Eigenstate Thermalization - infinite tower of thermodynamically-relevant quantities

$$\langle E_i | \mathcal{O} | E_i \rangle = f_{\mathcal{O}}(E_i, \mathcal{I}_i^a)$$

# ETH in CFT

- quantization of CFT on a cylinder  $\mathbb{S}^1 \times R$
- thermodynamic limit (while  $c$  is fixed)

$$E/\ell - \text{fixed}, \quad \ell \rightarrow \infty$$

- spectrum of  $H$  is highly degenerate, hence diagonal ensemble may not have full predictive power
- ETH in 2d CFT – statement about special KdV basis

$$\langle E|\mathcal{O}|E\rangle = f_{\mathcal{O}}(Q_{2k-1})$$



# Generalized Eigenstate Thermalization in 2d CFTs

- working in the “quasi-classical” regime

$$\frac{q_{2k-1}}{q_1^k} - 1 = O(1/c), \quad Q_{2k-1} = \frac{q_{2k-1}}{\ell} - \text{fixed}, \quad \ell \rightarrow \infty$$

- expectation values of quasi-primaries from the vacuum block in KdV eigenstates  $|\Psi\rangle = |n_k, \Delta\rangle$  depend on  $q_{2k-1}$   
for example, at level 8

$$\mathcal{O} = (\partial^2 T \partial^2 T) - \frac{10}{9} (\partial T \partial^3 T) + \frac{10}{63} (T \partial^4 T) - \frac{13}{2268} \partial^6 T$$

$$\langle \Psi | \mathcal{O} | \Psi \rangle = \frac{63}{143} \frac{180}{c^2} (q_7 - q_1^4 - 4q_1(q_5 - q_1^3) + 6q_1(q_3 - q_1^2)) + O(c^0)$$

# Generalized Eigenstate Thermalization in 2d CFTs at second order in $1/c$

- we introduce  $\delta q_{2k-1} \equiv q_1^{-k} q_{2k-1} - 1 \sim O(1/c)$ ,  $\delta = \Delta/c$
- level 6 operator

$$\mathcal{O}_6^{(2)} = (\partial T \partial T) - \frac{4}{5}(T \partial^2 T) + \frac{23}{210} \partial^4 T.$$

eigenstate expectation value

$$\begin{aligned} \frac{5}{18} \langle \Psi | \mathcal{O}_6^{(2)} | \Psi \rangle &= q_1^3 \left[ \frac{6}{c} (\delta q_5 - 3\delta q_3) - \frac{1}{c^2} (84\delta q_5 + 180\delta q_3) \right] - \\ &\quad \sum_k n_k^2 \left( \frac{1}{2} k^4 + 72k^2 \delta + 864\delta^2 \right) + O(1/c) \end{aligned}$$

- at  $1/c$  order strong ETH even without taking  $\ell \rightarrow \infty$  limit

# Weak ETH

- typicality of thermal eigenstates in thermodynamic limit

order one contribution in the thermodynamic limit

$$\frac{1}{\ell^{2p}} \sum_k n_k k^{2p-1} \rightarrow \int dk k^{2p-1} n(k), \quad k = \frac{k}{\ell}$$

suppressed contribution in the thermodynamic limit

$$\frac{1}{\ell^{2p}} \sum_k n_k^2 k^{2p-2} \rightarrow \frac{1}{\ell} \int dk k^{2p-2} n^2(k)$$

# Matching Eigenstates to GGE

- regular systems with non-degenerate spectrum satisfying generalized ETH are expected to thermalize to Generalized Gibbs Ensemble

$$\rho = \exp \left\{ - \sum_{k=1}^{\infty} \mu_{2k-1} Q_{2k-1} \right\} / Z$$

- in 2d CFTs generalized ETH guarantees equivalence of KdV-eigenstate and GGE ensembles, provided there are  $\mu_{2k-1}$  for the given set of  $q_{2k-1}$ , but can not unambiguously predict emergence of GGE at late times

# Matching Eigenstates to GGE

- matching GGE to KdV eigenstates

$$\frac{q_{2k-1}}{q_1^k} - 1 = \frac{24k}{c} \int_0^\infty \frac{d\kappa \kappa [(2k-1) {}_2F_1(1, 1-k, 3/2, -\kappa^2) - 1]}{e^{2\pi\kappa\gamma} - 1},$$

$$\gamma = \sum_{k=1}^{\infty} t_{2k-1} k(2k-1) \sigma^{k-1/2} {}_2F_1(1, 1-k, 3/2, -\kappa^2) \geq 1$$

- primary states - singular GGE ensemble
- when  $q_3/q_1^2 - 1 \geq \frac{22}{5c}$  some of the chemical potentials have to be negative
- at leading order in  $c$ , dual black hole is the conventional BTZ solution controlled by  $q_1, \bar{q}_1$

# Main results

- qKdV hierarchy defines preferred basis of CFT eigenstates
  - control over eigenvalues/eigenvectors of qKdV charges at first two orders in  $1/c$
  - GGE/generalized partition function
  - modular invariance?...
- Generalized Eigenstate Thermalization in 2d CFTs
  - analytic result establishing (weak) ETH
  - completeness of qKdV charges to describe KdV eigenstate
  - late time equilibrium? ...