Trace Anomalies, RG Flow and Scattering Amplitude





ITMP seminar: February 14, 2024

Based on the papers

- (1) arXiv: 2204.01786: Bootstrapping the a-anomaly in 4d QFTs, with Denis Karateev , Jan Marucha & Joao Penedones.
- (2) arXiv: 2312.09308: Trace Anomalies and the Graviton-Dilaton Amplitude, with Denis Karateev , Zohar Komargodski & Joao Penedones.
- (3) arXiv: 2402.xxxx: Trace Anomalies in Perturbative Flows, with Denis Karateev.

Motivation

Why use scattering amplitude to study trace anomalies along renormalization group (RG) flow?

Quantum Field Theories (QFTs) can be non-perturbatively defined as a renormalization group flow between UV fixed point and IR fixed point which are assumed to enjoy conformal symmetry.



To specify a particular QFT it is sufficient to provide the UV CFT, and

(1) the relevant deformation triggering the RG flow in the explicit conformal symmetry breaking case,

OR

(2) the VEV of the scalar primary operator in the spontaneous symmetry breaking case.





QFT spectrum or scattering amplitudes at any energy scale can be computed using various numerical methods like lattice field theory, Hamiltonian truncation, tensor networks, etc [requires introduction of UV cut-off and costly extrapolation to continuum limit]

We can non-perturbatively study:

the UV fixed point using conformal bootstrap program, (to put bounds on the allowed values of scaling dimensions, OPE coefficients,...)

and

The parameters are different

Very Hard

the scattering amplitudes of light d.o.f. near IR fixed point using S-matrix bootstrap program.

(to bound ratios of masses of stable particles, coupling constants, EFT parameters,...)

Questions we like to ask: Can we identify a set of observables which are determined by the UV CFT and IR CFT data, and do not depend on the details of the RG flow (``protected")?

Then the CFT datas can be used as parameters of both the conformal and S-matrix bootstrap to derive non-perturbative bounds.

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The answer we provide: Introduce two background fields \rightarrow dilaton and graviton.

Dilaton compensate explicit conformal symmetry breaking near UV (or the Goldstone boson of SSB)

Graviton is the quanta of background metric (after providing dynamics) introduced such that the QFT in the curved background in classically Weyl invariant.

- The answer we provide: Introduce two background fields \rightarrow dilaton and graviton.
- Dilaton compensate explicit conformal symmetry breaking near UV (or the Goldstone boson of SSB)
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The 'a' and 'c' are trace/Weyl anomaly coefficients of CFT determined in terms of OPE coefficients of stress tensor correlation function.

Applications in 4d



Applications in 4d



Outline of the seminar

- Trace anomalies in CFT
- Proposal of background field method to probe trace anomalies along RG flow
- Testing the proposal in QFTs: free massive scalar and Dirac fermion, weakly relevant flow
- Dilaton-Dilaton and Graviton-Dilaton scattering amplitudes
- S-matrix Bootstrap applications
- Outlook for future

Trace anomalies in CFT

Based on: Capper, Duff and Isham (1974-76) Osborn and Petkos (1993)

CFT in curved spacetime and trace anomaly



CFT in curved spacetime and trace anomaly



'a' and 'c' as OPE coefficients

 $T^{\mu}_{\ \mu}(x) = 0$



- \mathbf{T}_I for I = 0, 1, 2, 3 are known tensor structures.

 $\partial_{\mu}T^{\mu\nu}(x) = 0$

In flat background:

a and c anomalies in terms of central charge and OPE coefficients :

$$a \equiv \frac{\pi^4}{64 \times 90} \Big(9\mathbb{A} - 2\mathbb{B} - 10\mathbb{C} \Big) \qquad \qquad c \equiv \frac{\pi^4}{64 \times 30} \Big(14\mathbb{A} - 2\mathbb{B} - 5\mathbb{C} \Big) = \frac{\pi^2}{64 \times 10} C_T$$

Proposal of background field method to probe trace anomalies along RG flow

Based on: Fradkin and Tseytlin (1984) Schwimmer and Theisen (2010) Komargodski and Schwimmer (2011) Luty, Polchinski and Rattazzi (2012) Karateev, Komargodski, Penedones and B.S.

Dilaton as a conformal compensator

Weyl invariant $A_{QFT}[\phi, g_{\mu\nu}] = A_{UV} CFT[\phi, g_{\mu\nu}] + A_{deformation}(M_i)$

$$A \text{deformation}^{(M_i)} = \sum_{i} \int d^4 x \sqrt{-g} \left(\lambda_i M_i^{4-\Delta_i} \mathcal{O}_i(x) \right) \qquad \Delta_i < 4$$

Weyl symmetry is explicitly broken due to $A_{\mbox{deformation}}$ which triggers the RG flow

$$T^{\mu}_{\ \mu}(x) = \sum_{i} \lambda_{i}(4 - \Delta_{i})M_{i}^{4 - \Delta_{i}}\mathcal{O}_{i}(x)$$

Dilaton as a conformal compensator

Restore the Weyl symmetry by introducing a compensator field $\Omega(x)$ and scaling all the mass parameters $M_i \to M_i(x) \equiv \Omega(x)M_i$

 $A_{\text{QFT}}^{\text{compensated}}[\phi, g_{\mu\nu}, \Omega] = A_{\text{UV}} \operatorname{CFT}[\phi, g_{\mu\nu}] + A_{\text{deformation}}^{\text{compensated}}(M_i)$

$$A_{\text{deformation}}^{\text{compensated}}(M_i) = \sum_i \int d^4x \sqrt{-g} \ \lambda_i \left(M_i \Omega(x) \right)^{4-\Delta_i} \mathcal{O}_i(x)$$

Under Weyl transformation $\Omega(x) \rightarrow e^{-\sigma(x)}\Omega(x)$.

source for $T^{\mu}_{\mu}(x)$

 $\Omega(x) = e^{-\tau(x)}$, where $\tau(x)$ is the <u>dilaton field</u> under Weyl transformation : $\tau(x) \rightarrow \tau(x) + \sigma(x)$

Trace anomaly in compensated QFT



$$\delta_W W[g_{\mu\nu}, \Omega] = \int d^4 x \sqrt{-g} \sigma(x) \Big(-a_{UV} \times E_4 + c_{UV} \times \mathscr{M}^2 + \mathscr{A} \text{coupling space} \Big)$$

 $\mathscr{A} \text{coupling space} = \sum_{i} \mathbf{c}_{i}^{UV} M_{i}^{8-2\Delta_{i}} \Omega(x)^{4-\Delta_{i}} \left(\Box^{\Delta_{i}-2} + \dots \right) \Omega(x)^{4-\Delta_{i}}$ $\Delta_{i} \in \mathbb{Z}_{+}$

Extra scale anomaly for integer dimension operators, won't be discussed in this talk

Trace anomaly matching and graviton-dilaton EFT

$$A_{IR}[\Phi, g_{\mu\nu}, \tau] = A_{IR \ CFT}[\Phi, g_{\mu\nu}] + A_{EFT}[\tau, g_{\mu\nu}] + \sum_{1 \le \Delta \le 2} \lambda_{\Delta} \int d^4x \sqrt{-\hat{g}} \ M^{2-\Delta}R(\hat{g}) \ \widehat{\mathbf{O}}_{\Delta}(x)$$

+ irrelevant terms

$$\hat{g}_{\mu\nu}(x) \equiv e^{-2\tau(x)}g_{\mu\nu}(x)$$
 $\hat{\mathbf{O}}_{\Delta}(x) \equiv e^{\Delta\tau(x)}\mathbf{O}(x)$

$$\delta_{W}W[g_{\mu\nu},\Omega] = \int d^{4}x \sqrt{-g}\sigma(x) \left(-a_{UV} \times E_{4} + c_{UV} \times \mathcal{W}^{2}\right)$$

$$\delta_{W}A_{EFT}[g_{\mu\nu},\tau] = \int d^{4}x \sqrt{-g}\sigma(x) \left(-\Delta a \times E_{4} + \Delta c \times \mathcal{W}^{2}\right)$$

 $\Delta a \equiv a_{UV} - a_{IR} \quad and \quad \Delta c \equiv c_{UV} - c_{IR}$

Trace anomaly matching and graviton-dilaton EFT

$$A_{IR}[\Phi, g_{\mu\nu}, \tau] = A_{IR \ CFT}[\Phi, g_{\mu\nu}] + A_{EFT}[\tau, g_{\mu\nu}] + \sum_{1 \le \Delta \le 2} \lambda_{\Delta} \int d^4x \sqrt{-\hat{g}} \ M^{2-\Delta}R(\hat{g}) \ \widehat{\mathbf{O}}_{\Delta}(x)$$

+ irrelevant terms

$$\hat{g}_{\mu\nu}(x) \equiv e^{-2\tau(x)}g_{\mu\nu}(x)$$
 $\hat{\mathbf{O}}_{\Delta}(x) \equiv e^{\Delta\tau(x)}\mathbf{O}(x)$

Solution

 $A_{EFT}[\tau, g_{\mu\nu}] = -\Delta a \times A_a[\tau, g_{\mu\nu}] + \Delta c \times A_c[\tau, g_{\mu\nu}] + A_{invariant}[\hat{g}_{\mu\nu}]$

$$\begin{aligned} A_{a}[\tau,g_{\mu\nu}] &= \int d^{4}x \sqrt{-g} \left(\tau E_{4} + 4 \left(R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R \right) \partial_{\mu} \tau \partial_{\nu} \tau + 2(\partial \tau)^{4} - 4(\partial \tau)^{2} \Box \tau \right) \\ A_{c}[\tau,g_{\mu\nu}] &= \int d^{4}x \sqrt{-g} \tau \mathcal{W}^{2} \\ A_{invariant}[\widehat{g}_{\mu\nu}] &= \int d^{4}x \sqrt{-\widehat{g}} \left(M^{4}\lambda + M^{2}r_{0}\widehat{R} + r_{1}\widehat{R}^{2} + r_{2}\widehat{W}^{2} + r_{3}\widehat{E}_{4} \right) \end{aligned}$$

Vertices to probe anomaly coefficients



3-dilaton and 1-graviton-2-dilaton vertices



 $(\partial_{k_{2u}} - \partial_{k_{3u}})^2 \vec{\partial}_{k_2} \cdot \vec{\partial}_{k_3} V^{uu}_{(h\varphi\varphi)}$ related to Hartman-Mathys ANEC sum-rule

graviton-graviton-dilaton vertex

$$(\varepsilon_{1} \cdot \varepsilon_{2}) \equiv \varepsilon_{1\mu\nu}\varepsilon_{2}^{\mu\nu}$$

$$(k_{i} \cdot \varepsilon_{j} \cdot k_{k}) \equiv k_{i\mu}\varepsilon_{j}^{\mu\nu}k_{k\nu}$$

$$(k_{i} \cdot \varepsilon_{1} \cdot \varepsilon_{2} \cdot k_{j}) \equiv k_{i\mu}\varepsilon_{1}^{\mu\rho}\varepsilon_{2\rho\nu}k_{j}^{\nu}$$

$$(k_{i} \cdot \varepsilon_{1} \cdot \varepsilon_{2} \cdot k_{j}) \equiv k_{i\mu}\varepsilon_{1}^{\mu\rho}\varepsilon_{2\rho\nu}k_{j}^{\nu}$$

$$\varepsilon_{2} \cdot k_{2}$$

$$(\varepsilon_{1} \cdot \varepsilon_{2}) + f_{2}(k_{1}, k_{2}) \times (k_{1} \cdot \varepsilon_{2} \cdot k_{1})(k_{2} \cdot \varepsilon_{1} \cdot k_{2}) + f_{3}(k_{1}, k_{2}) \times (k_{1} \cdot \varepsilon_{2} \cdot \varepsilon_{1} \cdot k_{2})$$

at four power in momenta:

$$f_{1}(k_{1},k_{2}) = \frac{4i\kappa^{2}}{\sqrt{2}f} \left(2(-\Delta a + \Delta c + 18r_{1})(k_{1}.k_{2})^{2} + (2\Delta a - \Delta c + 24r_{1})k_{1}^{2}k_{2}^{2} + 12r_{1}(k_{1}^{4} + k_{2}^{4}) + 42r_{1}(k_{1}.k_{2})(k_{1}^{2} + k_{2}^{2}) \right)$$

$$f_{2}(k_{1},k_{2}) = \frac{8i\kappa^{2}}{\sqrt{2}f} \left(-\Delta a + \Delta c \right)$$

$$f_{3}(k_{1},k_{2}) = \frac{8i\kappa^{2}}{\sqrt{2}f} \left(2(\Delta a - \Delta c - 6r_{1})(k_{1}.k_{2}) - 6r_{1}(k_{1}^{2} + k_{2}^{2}) \right)$$

Testing the proposal in QFTs

Based on:
Cappeli and Latorre (1989)
Klebanov, Pufu and Safdi (2011)
Komargodski (2011)
Karateev, Komargodski, Penedones and B.S.
Karateev and B.S. (to appear)

Example I: Free massive scalar



Example I: Free massive scalar



Low energy expansion:

$$\begin{split} f_1(k_1, k_2) &= \frac{i\kappa^2}{1440\sqrt{2}\pi^2 f} \left(2(k_1^2)^2 + 2(k_2^2)^2 + 10(k_1 \cdot k_2)^2 + 7k_1 \cdot k_2(k_1^2 + k_2^2) + 3k_1^2 k_2^2 \right) \\ f_2(k_1, k_2) &= + \frac{i\kappa^2}{360\sqrt{2}\pi^2 f} \\ f_3(k_1, k_2) &= - \frac{i\kappa^2}{720\sqrt{2}\pi^2 f} \left(k_1^2 + k_2^2 + 6(k_1 \cdot k_2) \right) \end{split} \qquad \qquad \Delta a = \frac{1}{5760\pi^2}, \qquad \Delta c = 3\Delta a, \qquad r_1 = \frac{\Delta a}{6} \end{split}$$

Example II: Free massive Dirac fermion



Low energy expansion:

$$\begin{split} V_{(hh\varphi)}(k_1, k_2, k_3; \varepsilon_1, \varepsilon_2) \\ &= \frac{i\kappa^2}{720\sqrt{2}\pi^2 f} \Big[(\varepsilon_1 \cdot \varepsilon_2) \left\{ 14k_1^2 k_2^2 + 6\left((k_1^2)^2 + (k_2^2)^2 \right) + 25(k_1 \cdot k_2)^2 + 21k_1 \cdot k_2 (k_1^2 + k_2^2) \right\} \\ &- (k_2 \cdot \varepsilon_1 \cdot \varepsilon_2 \cdot k_1) \left\{ 6(k_1^2 + k_2^2) + 26k_1 \cdot k_2 \right\} + 7(k_2 \cdot \varepsilon_1 \cdot k_2)(k_1 \cdot \varepsilon_2 \cdot k_1) \Big] \end{split}$$

$$\Delta a = \frac{11}{5760\pi^2}, \qquad \Delta c = \frac{18}{5760\pi^2}, \qquad r_1 = \frac{1}{5760\pi^2}.$$

Example III: weakly relevant flow



Example III: weakly relevant flow (Introducing background fields in 4d Euclidean QFT)

$$A_{\text{QFT}}^{\text{compensated}} = A_{\text{UV CFT}}[\phi, g_{\mu\nu}] + \lambda_0 \int d^4x \sqrt{-g} \left(m_0 \Omega(x) \right)^{\delta} \mathcal{O}_g(x)$$
$$A_{\text{UV CFT}} + \kappa \int d^4x h_{\mu\nu}(x) T^{\mu\nu} x + O(\kappa^2) \qquad \Omega(x) = 1 - \frac{\varphi(x)}{\sqrt{2}f}$$

In the renormalized theory it demands: $\mu^{\delta}\lambda(\mu) \longrightarrow \mu^{\delta}\lambda\left(\Omega(x)^{-1}\mu\right)$

$$\lambda \left(\Omega(x)^{-1} \mu \right) = \lambda(\mu) + \frac{1}{\sqrt{2}f} \varphi(x) \beta(\lambda) + O(f^{-2})$$

Example III: weakly relevant flow

$$A_{\text{QFT}}^{\text{compensated}} = A_{\text{UV CFT}}[\phi, g_{\mu\nu}] + \lambda_0 \int d^4x \sqrt{g} \left(m_0 \Omega(x) \right)^{\delta} \mathcal{O}_g(x)$$

$$A_{\text{UV CFT}} + \kappa \int d^4x h_{\mu\nu}(x) T^{\mu\nu}(x) + O(\kappa^2) \qquad \Omega(x) = 1 - \frac{\varphi(x)}{\sqrt{2}f}$$

$$A_{\text{EFT}}[\varphi, h] = -\log \left[d\phi \right]_g e^{-A_{\text{QFT}}^{\text{compensated}}}$$

$$A_{\text{EFT}}[\varphi, h] = -\frac{1}{4\sqrt{2}f^3} \delta^2 \left(m_0^{\delta} \lambda_0 \right)^2 \int d^dx_1 \int d^dx_2 \varphi(x_2)^2 \varphi(x_1) \\ \times \langle \mathcal{O}(x_{12}) \mathcal{O}(0) \rangle_{\text{QFT}}} \rightarrow V_{(\varphi \varphi \varphi)}$$

$$X_{\text{CO}(x_{12}) \mathcal{O}(0)} = \frac{\kappa^2}{2\sqrt{2}f} (\delta m_0^{\delta} \lambda_0) \int d^dx_1 \int d^dx_2 \int d^dx_3 h_{\mu\nu}(x_1) h_{\rho\sigma}(x_2) \varphi(x_3) \\ \times \langle T^{\mu\nu}(x_1) T^{\rho\sigma}(x_2) \mathcal{O}(x_3) \rangle_{\text{QFT}}} \downarrow V_{(hh\varphi)}$$

Example III: weakly relevant flow (computation of Δa)

Four derivative part of the relevant EFT action:

Example III: weakly relevant flow (computation of Δc)

conformal perturbation theory

Dilaton-Dilaton and Graviton-Dilaton scattering amplitudes

Based on:
Komargodski and Schwimmer (2011)
Karateev, Komargodski, Penedones and B.S.

Providing dynamics to graviton and dilaton

$$\begin{split} A^{\varphi}_{kinetic} &= -\frac{f^2}{6} \int d^4 x \sqrt{-\hat{g}} \ R(\hat{g}) \\ &= \int d^4 x \sqrt{-g} \ \left[-\frac{1}{2} g^{\mu\nu} \partial_{\mu} \varphi \partial_{\nu} \varphi - \frac{f^2}{6} R + \frac{\sqrt{2}f}{6} R \varphi - \frac{1}{12} R \varphi^2 \right] \\ A^{h}_{kinetic} &= \left(\frac{1}{2\kappa^2} + \frac{f^2}{6} \right) \int d^4 x \sqrt{-g} \ R \end{split}$$

Weyl symmetry is broken in the Planck scale κ^{-1} with the **decoupling limit**: $\kappa \to 0$, $f \to \infty$, $\kappa \ll \frac{1}{f}$

Compute scattering amplitudes for the given action:

$$A = A^{\varphi}_{kinetic} + A^{h}_{kinetic} + A_{EFT}[\tau, g_{\mu\nu}] + \sum_{1 \le \Delta \le 2} \lambda_{\Delta} \int d^4x \sqrt{-\hat{g}} \ M^{2-\Delta}R(\hat{g}) \ \widehat{\mathbf{O}}_{\Delta}(x)$$

Four dilaton amplitude



Graviton-dilaton amplitude

At leading order in decoupling limit (order κ^2)



It does not probe RG flow, determined by the graviton-dilaton dynamics we provided.

Graviton-dilaton amplitude

At subleading order in decoupling limit (order $\kappa^2 f^{-2}$)



Graviton-dilaton amplitude

In COM frame:

$$\mathcal{T}_{+2}^{+2}(s,t,u) = \mathcal{T}_{-2}^{-2}(s,t,u) = \kappa^2 \left(1 - \frac{6r_0M^2}{f^2}\right) \frac{su}{t}$$
$$\mathcal{T}_{+2}^{-2}(s,t,u) = \mathcal{T}_{-2}^{+2}(s,t,u) = \frac{\kappa^2}{f^2} \left(\Delta c - \Delta a\right) t^2$$

Dispersion relation with assumption $\lim_{|s|\to\infty} \partial_t^2 \mathcal{T}_{+2}^{-2}(s,0,-s) = 0$:



• $(\Delta c - \Delta a)$ probes spinning massive states with partial wave spin ≥ 2

S-matrix Bootstrap applications

Based on: Karateev, Marucha, Penedones and B.S.

Bootstrap Setup



Set of amplitudes considered in the non-perturbative S-matrix bootstrap program:



Bootstrap Setup

1. Map complex *s*, *t*, *u*-planes to three unit disks with complex coordinate ρ_s , ρ_t , ρ_u such that two particle branch cuts lie on the perimeters of unit discs.

2. Assuming Mandelstam **analyticity** and **crossing** property write down ansatz for all three amplitudes as a polynomial in ρ_s , ρ_t , ρ_u with unknown parameters.

3. Use double **soft dilaton theorem** constraint on the ansatz of $\mathcal{T}_{mm \to \varphi\varphi}$ to fix some of the unknown parameters, and fix one parameter of $\mathcal{T}_{\varphi\varphi \to \varphi\varphi}$ in terms of Δa to match the four dilaton EFT amplitude.

4. Use SDPB to impose **unitarity** on the partial wave amplitudes with the minimization demand on Δa .

Bootstrap bound on a_{UV}



Outlook for the future

Connection with literatures

In 4d CFT, (c - a) combination appears in various context

(1) Counting specific operators in supersymmetric theories (Pietro & Komargodski; Beem & Rastelli; Ardehali, Martone & Rossello),

(2) Angular dependent part of the expectation value of the energy in the state produced by the $U_R(1)$ -current (Hofman & Maldacena),

(3) Counting spinning primary operators in the large central charge, strong coupling limit of CFTs (Camanho, Edelstein, Maldacena & Zhiboedov),

(4) Logarithmic term in the entanglement entropy of Schwarzschild black hole (Solodukhin).

$$\langle T^{\mu}_{\mu} \rangle_{g} = (c-a) R^{2}_{\mu\nu\rho\sigma} + 2(2c-a) R^{2}_{\mu\nu} + \left(\frac{c}{3} - a\right) R^{2}$$

Can we thought of our $(\Delta c - \Delta a)$ sum rule as an RG flow generalization in such scenarios?

S-matrix bootstrap application

If we combine our result $a_{UV} \ge 0.32 \ a_{\text{free}}$ with the conformal collider bound $\frac{31}{18} \ge \frac{a}{c} \ge \frac{1}{3}$ (Hofman & Maldacena), we find the following bound on the *c*-anomaly value for the set of UV CFTs which only flow to a gapped QFT

 $c_{UV} \ge 0.17 \ a_{\text{free}}$

Can this bound be improved by introducing graviton as another external probe in the S-matrix bootstrap setup we studied?

(Mis)matching of type-B anomaly in $\mathcal{N} = 2$ SCFT?

Niarchos, Papageorgakis, Pini & Pomoni

Coupling space scale-anomaly involving integer dimension Coulomb branch operators between the unbroken phase and Higgs phase does NOT match in presence of non-trivial coulomb branch chiral ring in the IR.

Using general arguments based on background field method we think it should match. Need to re-investigate using our proposal.

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RG flow in six dimensions using scattering amplitudes ?

Elvang, Freedman, Hung, Kiermaier, Myers & Theisen

Both the amplitudes probes Δa at six power in momenta, but vanish in the forward limit \Rightarrow probe only massive spinning states \Rightarrow No a-theorem!



Thank You for your attention!