String theory in AdS3, boundary currents, and the Schwarzian

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## Motivational puzzle: how to explain thermodynamics of near-extremal BHs?

- Extremal BH  $M \to Q$  $T \to 0$
- $M \rightarrow Q$  (Reissner-Nordstrom soln of  $T \rightarrow 0$  Einstein-Maxwell theory)
- Large entropy  $S_{\rm BH} = \frac{1}{4G_N}A_H = \pi Q^2$  $Z_{\rm BH} = e^{S_{\rm BH}}$

but no symmetry! (cf. usual quantum systems).

Breakdown in statistical thermal description.
 "Uncontrollable thermodynamic fluctuations"
 [Preskill, Schwarz, Shapere, Trivedi, Wilczek '91]

### The emergence of the (nearly) gapless Schwarzian mode resolves this puzzle

- Nearly gapless mode in the near-horizon AdS2 region of nearly extremal BHs.
- Large quantum fluctuations at low temperatures.

$$Z_{\rm BH} = T^{3/2} e^{S_{\rm BH}} \qquad T \to 0$$

 Conclusion: BH is like a conventional QM system, with only a few low-lying excitations.

[Sachdev '15; Almheiri, Kang '16; Maldacena, Stanford, Yang '16; Moitra, Trivedi, Vishal '18, Maldacena, Turiaci, Yang '19; Ghosh, Maxfield, Turiaci '19; Iliesiu, Turiaci, 20; Iliesiu, Kruthoff, Turiaci, Verlinde, 20; Heydeman, Iliesiu, Turiaci, Zhao, '20;...]

## Schwarzian modes come from subtle boundary excitations

 Zero modes in AdS2 coming from "pure gauge transformations" which don't vanish at boundary. [Camporesi, Higuchi '95]



Euclidean  $\mathbf{AdS_2}\times\mathbf{S^2}$ 

Regulate zero-modes by turning on a small temperature.

[L. Iliesiu, S.M., G.J.Turiaci '22]

 Schwarzian modes: large diffeomorphisms which change the shape of the boundary.



## The partition function of the Schwarzian vanishes at low temperatures

 Dual CFT1 has H=0 invariance under local time-reparameterizations. [Maldacena, Stanford, Yang '16]

• Not a symmetry of AdS2 background  $\Longrightarrow$  spontaneously broken — except for SL(2) isometries.



### ...(except for supersymmetric BHs)

[L. Iliesiu, S.M., G.J.Turiaci '22]

 In supersymmetric case, additional fermionic and R-symmetry currents

$$Z_{\text{Super-Scwh}} = T^{0}$$

Heydeman, Iliesiu, Turiaci, Zhao, '20

 The partition function of the BH is exponentially large, in agreement with microscopic string theory calculations

$$Z_{\rm BH} = e^{S_{\rm BH}} + \dots$$

[L. Iliesiu, S.M., G.J.Turiaci '22]

# Main question today: Can we isolate the Schwarzian mode in string theory?

- BTZ is a good candidate controllable stringy BH.
- Nevertheless, non-trivial problem, even in supergravity. (Need to lift the near-horizon modes to the boundary.)
- Trick/indirect method: BTZ = thermal AdS3 (Euclidean ) (cf Cardy formula).
   [Ghosh, Maxfield, Turiaci, '19]
- Boundary gravitons (Brown-Henneaux) in AdS3 gravity.

 $\iff$  Virasoro module in dual CFT2  $L_{-n}|$   $\rangle$ , n = 2, 3, ...

### Problem maps to boundary currents in AdS3, = Virasoro module in dual CFT2



[Ghosh, Maxfield, Turiaci, '19]

# Do these boundary currents exist in the spectrum of string theory in AdS3?

- Bosonic AdS3 and superstrings with NS-NS fluxes have known RNS description using SL(2) WZW model. [Maldacena, Ooguri '00] [Maldacena, Ooguri, Son '00]
- The low-lying spectrum of string states are local fields of (super)gravity, with global  $\mathfrak{psu}(1,1|2) \oplus \overline{\mathfrak{psu}}(1,1|2)$  symmetry.
- Currents, with dimension (1,0) in spacetime and infinite number of symmetry generators less obvious.
- Vertex operators written down using dimension 0 field in AdS3 (*not* identity). [Giveon, Kutasov, Seiberg '98]

# Goal: extract currents from one-loop partition function of string theory in AdS3

- Revisit string 1-loop amplitude. We find:
  - Virasoro character in bosonic string one-loop k>3
  - Super-Virasoro character in superstring one-loop
- Further,
  - Clarify mismatch: AdS/CFT vs naive supergravity about gauge fields and currents in superstring
  - Comment about thermal string theory vs AdS/CFT.
  - Remaining puzzle for K3 (time permitting).

### Thermal AdS3 is a solid torus

 Non-perturbative gravitational theory on AdS3 = boundary CFT2 (= spacetime CFT2)

• Euclidean AdS3  $ds^2 = k \left(\cosh^2 \rho \, dt_{\rm E}^2 + d\rho^2 + \sinh^2 \rho \, d\varphi^2\right)$ Thermal identification  $(t_{\rm E}, \varphi) \sim (t_{\rm E} + \beta, \varphi + i \beta \mu)$   $\tau = \frac{\beta \mu + i \beta}{2\pi}, \qquad q = e^{2\pi i \tau}$ Modular parameter of boundary torus

• Saddles of spacetime theory: thermal AdS3, BTZ BH, ... Perturbation expansion around each saddle  $\mathcal{Z}_{CFT}(\tau, \overline{\tau}) \Big|_{thermal AdS_3} = \exp(S_{tree} + \mathcal{Z}_{ws}(\tau, \overline{\tau}) + ...)$ 

## Bosonic string theory on AdS3 = maps from worldsheet to solid torus

One-loop partition function of strings [Maldacena, Ooguri, Son '00]

$$\mathcal{Z}_{ws}(\tau,\bar{\tau}) = \int_{\mathcal{F}} \frac{d^2 \mathfrak{t}}{\mathfrak{t}_2} \, \mathcal{Z}_{\mathfrak{sl}(2)_k}(\mathfrak{t},\bar{\mathfrak{t}};\tau,\bar{\tau}) \, \mathcal{Z}_X(\mathfrak{t},\bar{\mathfrak{t}}) \, |\eta(\mathfrak{t})|^4 \qquad \begin{array}{c} \mathfrak{t} = \mathfrak{t}_1 + i \, \mathfrak{t}_2 \\ \mathfrak{z} = e^{2\pi i \, \mathfrak{t}} \end{array}$$
  
b-c ghosts Modular parameter of worldsheet torus

#### SL(2) WZW model

$$\mathcal{Z}_{\mathfrak{sl}(2)_{k}}(\mathfrak{t},\overline{\mathfrak{t}};\tau,\overline{\tau}) = \frac{\beta\sqrt{k-2}}{2\pi\sqrt{\mathfrak{t}_{2}}} \sum_{n,m\in\mathbb{Z}} \frac{\exp\left(-\frac{k\beta^{2}}{4\pi\mathfrak{t}_{2}}|m-n\mathfrak{t}|^{2} + \frac{2\pi}{\mathfrak{t}_{2}}\left(\mathrm{Im}(\mathfrak{u}_{n,m})\right)^{2}\right)}{|\vartheta_{1}(\mathfrak{u}_{n,m},\mathfrak{t})|^{2}}$$
$$\mathfrak{u}_{n,m} = \tau\left(n\mathfrak{t}-m\right)$$

**Internal CFT** 
$$\mathcal{Z}_X(\mathfrak{t},\overline{\mathfrak{t}}) = \sum_{h_{\text{int}},\bar{h}_{\text{int}}} D(h_{\text{int}},\bar{h}_{\text{int}}) \mathfrak{z}^{h_{\text{int}}} \overline{\mathfrak{z}}^{\bar{h}_{\text{int}}}$$

### Single-string spectrum

 One-loop spectrum has a well-known spacetime (Hamiltonian) interpretation

$$\mathcal{Z}_{ws}(\tau, \overline{\tau}) = -\beta \sum_{m=1}^{\infty} f(m\tau, m\overline{\tau})$$

$$f(\tau, \overline{\tau}) = \frac{1}{\beta} \sum_{\mathcal{H}_{\text{single-string}}} e^{-\beta E - i \beta \mu \ell}$$

Single-string spectrum

$$f(\tau,\overline{\tau}) = \frac{4}{i\beta(k-2)} \int_{-\infty}^{\infty} d\zeta \zeta \int_{-\frac{1}{2}}^{\frac{1}{2}} d\mathfrak{t}_1 \int_{0}^{\infty} d\mathfrak{t}_2 e^{4\pi \left(a_k - \frac{\zeta^2}{k-2}\right)\mathfrak{t}_2 + 2i\beta\zeta} \mathcal{P}_{\mathrm{bos}}(\mathfrak{z},\overline{\mathfrak{z}};q,\overline{q})$$

$$\mathcal{P}_{\mathrm{bos}}(\mathfrak{z},\overline{\mathfrak{z}};q,\overline{q}) = \frac{Z_X(\mathfrak{z},\overline{\mathfrak{z}})}{\left|q^{\frac{1}{2}} - q^{-\frac{1}{2}}\right|^2} \left|\prod_{n=1}^{\infty} \frac{1-\mathfrak{z}^n}{(1-q\,\mathfrak{z}^n)\,(1-q^{-1}\,\mathfrak{z}^n)}\right|^2.$$

### The appearance of Virasoro modules

 Single-string states can be separated into discrete states and a continuum

 $f(\tau, \overline{\tau}) = f_{\text{tachyon}}(\tau, \overline{\tau}) + f_{\text{disc}}(\tau, \overline{\tau}) + f_{\text{cont}}(\tau, \overline{\tau})$ 

 (There are also the spectral flow sectors associated with long strings in AdS3 — not important today)



### Superstrings on AdS3 x S3 x T4/K3

• Euclidean AdS3 x S3 + NSNS flux  

$$ds^{2} = k \left( \cosh^{2} \rho \, dt_{\rm E}^{2} + d\rho^{2} + \sinh^{2} \rho \, d\varphi^{2} + d\theta^{2} + \cos^{2} \theta \, d\phi_{1}^{2} + \sin^{2} \theta \, d\phi_{2}^{2} \right)$$

$$B = k \left( \sinh^{2} \rho \, dt_{\rm E} \wedge d\varphi + \sin^{2} \theta \, d\phi_{1} \wedge d\phi_{2} \right)$$

## • Solvable RNS worldsheet string theory $SL(2)_k \times SU(2)_k \times T^4/K3$

Thermal identification with twist around the S3

$$\begin{split} &(t_{\rm E},\varphi,\phi_1,\phi_2) \ \sim \ (t_{\rm E},\varphi+2\pi,\phi_1,\phi_2) \ \sim \ (t_{\rm E},\varphi,\phi_1+2\pi,\phi_2) \ \sim \ (t_{\rm E},\varphi,\phi_1,\phi_2+2\pi) \\ &(t_{\rm E},\varphi,\phi_1,\phi_2) \ \sim \ (t_{\rm E}+\beta,\varphi+i\,\beta\,\mu,\phi_1+i\,\beta\,\nu_1,\phi_2+i\,\beta\,\nu_2) \,. \end{split}$$

## The building blocks of the individual CFTs partition functions are known



Also twist the SU(2)

 $\mathcal{Z}_{\mathfrak{su}(2)}^{(n,m)}(\mathfrak{t},\overline{\mathfrak{t}};\rho,\overline{\rho}) = \rho(n\mathfrak{t}-m)$   $e^{\frac{\pi k \operatorname{Im}(\rho)^{2}}{\mathfrak{t}_{2}}|n\mathfrak{t}-m|^{2}-\frac{\pi}{\mathfrak{t}_{2}}(k-2)(\operatorname{Im}(\rho_{n,m}))^{2}}\sum_{\ell=0}^{\frac{k}{2}-1} \left|\frac{\Theta_{2\ell+1}^{(k)}(\rho_{n,m},\mathfrak{t})-\Theta_{-2\ell-1}^{(k)}(\rho_{n,m},\mathfrak{t})}{\vartheta_{1}(\rho_{n,m},\mathfrak{t})}\right|^{2}$ 

$$\Theta_l^{(k)}(\rho, \mathfrak{t}) = \sum_{n \in \mathbb{Z} + \frac{l}{2k}} \mathfrak{z}^{k n^2} r^{n k}, \qquad \mathfrak{z} = e^{2\pi i \mathfrak{t}}, \qquad r = e^{2\pi i \rho}$$

## RNS fermions handled in light-cone gauge or (better) through super-ghosts

$$\begin{aligned} \mathcal{Z}_{\mathrm{IIB}} &= \frac{\beta \sqrt{k}}{2\pi} \int_{\mathcal{F}} \frac{d^2 \mathfrak{t}}{\mathfrak{t}_2^{\frac{3}{2}}} \left( \sum_{\Gamma^{4,4}} \mathfrak{z}^{p_L^2} \, \bar{\mathfrak{z}}^{p_R^2} \right) \sum_{n,m} \frac{\exp\left(-\frac{k}{\mathfrak{t}_2} \left(\frac{\beta^2}{4\pi} + \pi \, \mathrm{Im}(\rho)^2\right) \, |m - n \, \mathfrak{t}|^2 + \frac{2\pi \, k \, \mathrm{Im}(\rho_{n,m})^2}{\mathfrak{t}_2} \right)}{|\eta(\mathfrak{t})|^{12} \, |\vartheta_1(\mathfrak{u}_{n,m},\mathfrak{t})|^2} \\ &\times \sum_{\ell=0}^{\frac{k}{2}-1} \left| \frac{\Theta_{2\ell+1}^{(k)}(\rho_{n,m},\mathfrak{t}) - \Theta_{-2\ell-1}^{(k)}(\rho_{n,m},\mathfrak{t})}{\vartheta_1(\rho_{n,m},\mathfrak{t})} \right|^2 \times \begin{cases} \left| \mathsf{Z}_{\mathrm{PF}}^{(n,m)} \right|^2, & \text{periodic fermions,} \\ \left| \mathsf{Z}_{\mathrm{AF}}^{(n,m)} \right|^2 & \text{antiperiodic fermions.} \end{cases} \end{aligned}$$

 The twisted fermions, after spin-structure sum, Riemann identities, assemble into spacetime fermions

$$\begin{aligned} \mathsf{Z}_{\mathrm{PF}}^{(n,m)}(\mathfrak{t};\tau,\rho) &= \vartheta_1^2(\mathfrak{v}_{n,m}^+,\mathfrak{t})\,\vartheta_1^2(\mathfrak{v}_{n,m}^-,\mathfrak{t})\,,\\ \mathsf{Z}_{\mathrm{AF}}^{(n,m)}(\mathfrak{t};\tau,\rho) &= \vartheta_1^2(\mathfrak{v}_{n,m}^+,\mathfrak{t})\,\vartheta_1^2(\mathfrak{v}_{n,m}^-,\mathfrak{t}) + (-1)^n\,\vartheta_2^2(\mathfrak{v}_{n,m}^+,\mathfrak{t})\,\vartheta_2^2(\mathfrak{v}_{n,m}^-,\mathfrak{t})\\ &+ (-1)^m\,\vartheta_4^2(\mathfrak{v}_{n,m}^+,\mathfrak{t})\,\vartheta_4^2(\mathfrak{v}_{n,m}^-,\mathfrak{t}) - (-1)^{n+m}\vartheta_3^2(\mathfrak{v}_{n,m}^+,\mathfrak{t})\,\vartheta_3^2(\mathfrak{v}_{n,m}^-,\mathfrak{t})\,,\end{aligned}$$

### Atick-Witten twist vs CFT2 twist

 On one hand, the twists of the fermions are decided by demanding worldsheet modular invariance

$$\begin{split} (\mathcal{Z}_{AF}^{\pm})^{(n,m)} &= \operatorname{Tr}_{NS} \left( \left[ \frac{1 - e^{i\pi F}}{2} \frac{1 + e^{i\pi n}}{2} + \frac{1 + e^{i\pi F}}{2} e^{i\pi m} \frac{1 - e^{i\pi n}}{2} \right] e^{2\pi i \mathfrak{t} L_{0}} \right) \\ &- \operatorname{Tr}_{R} \left( \left[ \frac{1 \pm e^{i\pi F}}{2} \frac{1 - e^{i\pi n}}{2} + \frac{1 + e^{i\pi F}}{2} e^{i\pi m} \frac{1 - e^{i\pi n}}{2} \right] e^{2\pi i \mathfrak{t} L_{0}} \right) \end{split}$$

• On the other hand, boundary SCFT2 R-symmetry  $\Longrightarrow$  $Z_{CFT}(\tau, \overline{\tau}, \rho, \overline{\rho}) = Tr \exp\left(2\pi i \tau L_0^{CFT} - 2\pi i \overline{\tau} \overline{L}_0^{CFT} + 2\pi i \rho J_{CFT} - 2\pi i \overline{\rho} \overline{J}_{CFT}\right)$ 

• Nicely enough, two notions agree for the partition function  $Z_{PF}(\mathfrak{t}; \tau, \rho + 1) = Z_{AF}(\mathfrak{t}; \tau, \rho), \qquad Z_{PF}(\mathfrak{t}; \tau, \rho + 2) = Z_{PF}(\mathfrak{t}; \tau, \rho)$ 

### Useful to organize spectrum in terms of spacetime symmetries

• Spacetime symmetry  $\mathfrak{psu}(1,1|2) \oplus \overline{\mathfrak{psu}}(1,1|2)$ 

reduced psu(1,1|2) character

$$\boldsymbol{\chi}_{g}(\ell) = \boldsymbol{\chi}_{g}(\ell; r, q) = q^{\ell} \chi_{\ell}(r) - 2 q^{\ell + \frac{1}{2}} \chi_{\ell - \frac{1}{2}}(r) + q^{\ell + 1} \chi_{\ell - 1}(r),$$

reduced  $\mathfrak{psu}(1,1|2) \oplus \mathfrak{psu}(1,1|2)$  characters.

su(2) characters

$$\boldsymbol{\xi}^{(1)}(j) = \boldsymbol{\chi}_{g}(j) \, \overline{\boldsymbol{\chi}}_{g}(j) \,, \quad \boldsymbol{\xi}^{(\frac{3}{2})}(j) = \boldsymbol{\chi}_{g}(j + \frac{1}{2}) \, \overline{\boldsymbol{\chi}}_{g}(j) \,, \quad \boldsymbol{\xi}^{(2)}(j) = \boldsymbol{\chi}_{g}(j + 1) \, \overline{\boldsymbol{\chi}}_{g}(j) \,.$$

 Supergravity modes [Deger, Kaya, Sezgin, Sundell '98] s = 2:  $\sum_{j \ge 0} \boldsymbol{\xi}^{(2)}(j) + \overline{\boldsymbol{\xi}}^{(2)}(j),$  $s = \frac{3}{2}: \qquad 2\,\boldsymbol{\xi}^{(\frac{3}{2})}(0) + 2\,\overline{\boldsymbol{\xi}}^{(\frac{3}{2})}(0) + \sum \mathbf{4}_5\,\boldsymbol{\xi}^{(\frac{3}{2})}(j) + \mathbf{4}_5\,\overline{\boldsymbol{\xi}}^{(\frac{3}{2})}(j) \,,$ s = 1:  $\sum \mathbf{5}_5 \, \boldsymbol{\xi}^{(1)}(j) + \boldsymbol{\xi}^{(1)}(j + \frac{1}{2}).$ 

$$j \ge 1$$

### Single-string spectrum: supergravity modes

Single-string spectrum:



### Single-string spectrum: currents



## How does this agree with the expectations for currents?

 Currents of (4,4) algebra expected from boundary: Brown-Henneaux analysis.

 The 4+4 U(1) currents (+superpartners) correspond to gauge fields from NSNS excitations in the T4 directions.

• In the boundary theory, this corresponds to overall (center of mass) T4 in  $\operatorname{Sym}^N(T^4)$ 

## How does this agree with the expectations for currents?

- Naively, RR gauge fields could also give boundary currents (another 4+4).
- Harmonic analysis suggests corresponding gauge fields in spectrum.
- However, our analysis shows that it is not consistent with modular invariant string 1-loop amplitude.
- Conclusion agrees with recent analysis of boundary theory, extra currents decoupled sector of theory.
   [Aharony, Urbach '24]

### **Consequences on near-extremal BTZ BHs**

 We can read off the thermodynamics of near-extremal BTZ BHs

$$\mathcal{Z}_{CFT}(\tau,\overline{\tau})\Big|_{BTZ} = \mathcal{Z}_{CFT}\left(-\frac{1}{\tau},-\frac{1}{\overline{\tau}}\right)\Big|_{thermal AdS_3}$$
  
Read off from string spectrum

We want large BH, but small temperature

$$T = \frac{1}{\pi i} \frac{1}{\overline{\tau} - \tau}, \qquad \Omega = \pi (\tau + \overline{\tau}).$$

$$\tau \to i \infty, \qquad \overline{\tau} \to -i 0$$

### **Consequences on near-extremal BTZ BHs**

$$\begin{split} \mathcal{Z}_{\rm CFT}(\tau,\bar{\tau}) \Big|_{\rm BTZ} &= e^{S_{\rm tree} - \frac{2\pi i}{24} \left(\frac{1}{\tau} - \frac{1}{\bar{\tau}}\right) + \cdots} \times \left| \frac{\left(1 - e^{-\frac{2\pi i}{\tau}}\right)}{\eta(-\frac{1}{\tau})} \right|^2 \times \prod_{n_1, n_2=0}^{\infty} \frac{1}{1 - e^{-2\pi i \left(\frac{2+n_1}{\tau} - \frac{2+n_2}{\bar{\tau}}\right)}}{1 - e^{-2\pi i \left(\frac{2+n_1}{\tau} - \frac{2+n_2}{\bar{\tau}}\right)}} \times \cdots \\ &= \frac{\sim}{\tau \to -i0} e^{\frac{2\pi i}{24} (c-1) \left(\frac{1}{\tau} - \frac{1}{\bar{\tau}}\right)} \times \frac{\left(1 - e^{-\frac{2\pi i}{\tau}}\right)}{(-i\tau)^{\frac{1}{2}} \eta(\tau)} \\ &= \frac{\sim}{\tau \to i\infty} \left(-i\tau\right)^{-\frac{3}{2}} \exp\left(-\frac{2\pi i (c-1)}{24\bar{\tau}} - \frac{2\pi i \tau}{24}\right) \end{split}$$

In the canonical ensemble,

[cf. Ghosh, Maxfield, Turiaci, '19]

$$\widetilde{\mathcal{Z}}_{\rm CFT}(T,J) \Big|_{\rm BTZ} \sim T^{\frac{3}{2}} J^{-\frac{3}{4}} e^{2\pi \sqrt{\frac{c}{6}J}}$$

• Our analysis shows that these results hold at finite k = non-zero  $\alpha'$  [Ferko, Murthy, Rangamani '24]

### **Consequences on near-extremal BTZ BHs** (supersymmetric theory)

Anti-periodic fermions. Zero modes from SL(2), SU(2), T4.

$$\left. \mathcal{L}_{CFT}^{NS}(\tau,\rho,\overline{\tau},\overline{\rho}) \right|_{BTZ} \sim e^{S_{tree}} \frac{T^5}{T_{gap}^5} \sum_{m \in \mathbb{Z}} \frac{\left(m + \frac{\rho}{2}\right) e^{\frac{T}{T_{gap}} \left(1 - \left(m + \frac{\rho}{2}\right)^2\right)}}{\sin(\pi\rho)} \right.$$

- Canonical ensemble  $T^{3/2}$
- Periodic fermions. Bosons + fermions

$$\mathcal{Z}_{CFT}^{R}(\tau,\rho,\overline{\tau},\overline{\rho})\Big|_{BTZ} \sim e^{S_{tree}} \frac{T}{T_{gap}} \frac{\cos^4(\frac{\pi}{2}\rho)}{\sin(\pi\rho)} \sum_{m\in\mathbb{Z}} \frac{\left(m+\frac{\rho}{2}\right) e^{\frac{T}{T_{gap}}\left(1-4\left(m+\frac{\rho}{2}\right)^2\right)}}{\left(1-4\left(m+\frac{\rho}{2}\right)^2\right)^2}$$

• After absorbing T4 fermions, index  $\sim T^0$  as  $T \rightarrow 0$ 

### **Further directions**



- Generalize results to RR flux. k=1?
- AdS5? Analyze Metsaev-Tseytlin string with this viewpoint? (Note only finite symmetry charges.)
- Harder: Schwarzian in higher-dimensional theory?